# COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1362167817A1

ORGANIZATION:

Northwestern University
619 Clark Street - Room 117
Evanston, IL 60208-1116

DATE:07/07/2020

FILING REF.: The preceding
agreement was dated
09/20/2019

The rates approved in this agreement are for use on grants, contracts and other
agreements with the Federal Government, subject to the conditions in Section III.

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## SECTION I: INDIRECT COST RATES

RATE TYPES:    FIXED      FINAL      PROV. (PROVISIONAL)    PRED. (PREDETERMINED)

EFFECTIVE PERIOD

| TYPE | FROM | TO | RATE(%) | LOCATION | APPLICABLE TO |
|------|------|------|------|------|------|
| FINAL | 09/01/2017 | 08/31/2019 | 58.00 | On Campus | Organized Research |
| FINAL | 09/01/2017 | 08/31/2019 | 59.00 | On Campus | DoD Contracts Only |
| PRED. | 09/01/2019 | 08/31/2021 | 58.00 | On Campus | Organized Research |
| PRED. | 09/01/2019 | 08/31/2021 | 59.00 | On Campus | DoD Contracts Only |
| PRED. | 09/01/2021 | 08/31/2023 | 60.00 | On Campus | Organized Research |
| PRED. | 09/01/2021 | 08/31/2023 | 61.00 | On Campus | DoD Contracts Only |
| PRED. | 09/01/2017 | 08/31/2023 | 51.00 | On Campus | Instruction |
| PRED. | 09/01/2017 | 08/31/2023 | 36.00 | On Campus | Other Sponsored Activities |
| PRED. | 09/01/2017 | 08/31/2023 | 26.00 | Off Campus | All Programs |
| PROV. | 09/01/2023 | Until Amended | | | Use same rates and conditions as those cited for fiscal year ending August 31, 2023. |

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*BASE

Total direct costs excluding capital expenditures (buildings, individual items of equipment; alterations and renovations), that portion of each subaward in excess of $25,000; hospitalization and other fees associated with patient care whether the services are obtained from an owned, related or third party hospital or other medical facility; rental/maintenance of off-site activities; student tuition remission and student support costs (e,g., student aid, stipends, dependency allowances, scholarships, fellowships), and telecommunication and networking specialized service center charges.

**SECTION I: FRINGE BENEFIT RATES\*\***

| Type | From | To | Rate | Applicable To | Location/Comments |
|------|------|-----|------|------|------|
| FIXED | 9/1/2020 | 8/31/2021 | 27.20 | All | Non Federal Programs |
| FIXED | 9/1/2020 | 8/31/2021 | 6.70 | All | Statutory |
| PROV. | 9/1/2021 | 8/31/2024 | | | Use same rates |

**SECTION II: SPECIAL REMARKS**

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

FRINGE BENEFITS:

| | |
|---|---|
| FICA | Retirement |
| Disability Insurance | Worker's Compensation |
| Life Insurance | Unemployment Insurance |
| Health Insurance | Tuition Remission |
| Dental Insurance | Benefits' Administration |
| Child Care Assistance | Lump Sum Separation Payments |
| Extended Sick Leave | Employee Assistance Program |
| Group Travel Insurance | FSA & HSA Match |
| Condolence Payments | |

As of 09/01/01 Telecommunications and Networking and the Animal Care Facility

A.    <u>LIMITATIONS:</u>

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions:  (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted: such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B.    <u>ACCOUNTING CHANGES:</u>

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of